

Client name

Contact information

Summary of Qualifications

As Chief Information Security Officer, I leverage my extensive expertise in information technology, IT risk and audit to deliver complex security solutions for large financial services enterprises that include risk remediation, system integrity, disaster recovery, business continuity and third-party risk management. My overarching principle is that information security is a collaboration among the CISO, the c-suite, business control management, external audit and regulatory agencies. I lead cross-functional teams by encouraging each member's professional development and instilling a culture of accountability and collegiality.

Career Highlights

- Built ground-up enterprise-level information security response to address 23 NYCRR 500 requirements by implementing new cybersecurity controls, enhancing existing controls & eliminating inefficiencies
- Designed comprehensive critical response process for cybersecurity incidents (identification, reporting & incident control) that reduced response time from 7 days to 2 hours, on average
- Took charge of flagging initiative to integrate >40K Banamex users into Citi's email system, developing one of Citi's top applications used by employees
- Prepared Risk Appetite requirement in advance of Dime's anticipated Q1 2021 asset level
- Led project with Microsoft team to build automated log review system to detect & prevent unauthorized activity in highly privileged accounts
- Achieved satisfactory audit ratings in first 18 months of being hired by Citi by developing comprehensive IT Change Management & Configuration Management program
- Developed Information Security Training program, a structured curriculum built to improve results of global Continuity of Business, Risk Control Self-Assessment, IT Change Management, & Information Security functions, including vulnerability threat assessment, patch management, identity & access management

Professional Experience

XXXX Bank

2017 - present

Chief Information Security Officer

- Lead 14-member information security team & external vendors throughout U.S. who conduct ongoing monitoring of threats & address escalations, with oversight for capital & operational budgets
- Forecast budget needed to build security infrastructure, business continuity & disaster recovery
- Provide quarterly updates on IT, cybersecurity strategies, risk mitigation & third-party risk to c-suite & Board, as well as chairing Management Committee on third-party risk
- Design enterprise-level programs to enhance security & develop risk mitigation strategies by identifying existing gaps & deficiencies
- Monitor planned activities for consistency with enterprise risk & risk appetite frameworks
- Ensure compliance with evolving industry regulations, including NYS DFS 500 requirements
- Perform ongoing risk assessments of cybersecurity vulnerabilities by aggregating risks & aligning them to Board-approved Risk Appetite Statement
- Manage testing program, including periodic internal/external penetration testing & phishing
- Develop table-top exercises for Crisis Management team & senior management
- Manage Third-Party Risk program that monitors vendor security protocols
- Train employees on security best practices & risks social engineering testing
- Developed key risk indicators & dashboard metrics reporting
- Coordinate Independent penetration testing, including external, internal, wireless, telecom & red/blue teams
- Develop & update Information security, cybersecurity, BCP & TPSP programs annually
- Conduct annual Risk Assessments including FFIEC Cybersecurity Assessment Tool
- Evaluate new products during selection process & implementation phases

XXXXXXX Trust & Banking Corporation

2014 - 2017

Head of IT & IS Business Management

- Managed 7 direct reports (35 indirect) across multiple regions with \$45MM P&L responsibility
- Identified security risks to complex business systems
- Directed information security: strategy, special reviews & deployment of technology solutions
- Advised stakeholders on new initiatives for security & technology, as well as sharing results of audits
- Performed risk analyses to determine optimal frequency of security assessments
- Verified business security practices to ensure compliance with regulatory requirements
- Conducted follow-up reviews to ensure implementation of recommend corrective actions

Company, Inc.

2001 - 2014

Senior Vice President of Technology

(promoted from VP - Global Technology Operations)

- Led 95 technology & security professionals (7 direct reports) across multiple regions who supported > 300K Citi employees worldwide, with infrastructures in 12 countries & 16 data centers
- Oversaw Systems Management team responsible for developing key business metrics
- Monitored risk profiles to develop security controls designed to mitigate risks & update procedures
- Managed real-time & end user communications environments (email, mobile devices, instant messaging, SharePoint, video conferencing)
- Performed risk assessments on emerging technologies & legacy critical systems
- Collaborated with internal software development, data architects, engineers, infrastructure, service delivery, lines of business, BISO/GISO, internal audit & legal teams
- Ensured compliance with corporate & industry standard/regulations
- Documented issues/gaps to ensure development of appropriate risk remediation plans
- Worked with software development teams to enhance application security
- Primary contact for audit & compliance events, as well as implementing pre-audit assessment tools
- Directed SIRT (Security Incident Response Team) process

Certifications

- C|CISO; CISSP; CISM; CISA ; CRISC; CGEIT; CBCP; CSX; ITIL; ISO 9001 Lead Auditor, Chief Information Security Officer Executive (Carnegie Mellon University)

IT Toolkit

Security Tools: SIEM (LogRhythm), CMDB (BigFix), Identity and Access Management (Hitachi ID), Antivirus (McAfee ePO), Application whitelisting (Carbon Black), Firewall (Palo Alto Networks), Vulnerability Management (Lumension HEAT and Nessus), Secure Code (HP Fortify)

Programming Languages: HTML/ASP, C++ and VBS

Software: MS Exchange, Proxy Servers, Citirx, SQL, Office, Excel (Advanced), Blackberry Enterprise Server, Good Technologies

Enterprise Applications: VMware vCenter Configuration Manager, CAATs, Pentana

Operating Systems: DOS, Windows 2008, Vista, NT, Novell 3.11/3.12 and 4.0/4.1

Networking & Internet: TCP/IP, IPX/SPX, WiFi, NetBIOS, PPTP, RAS, VPN, Bluetooth, FTP, SFTP, MAPI, IMAP, SMTP, POP

Technical Certifications: CCNA, MCSE, MCT, MCP+I, Siemens Hicom 300 E CS Technical Curriculum.

Frameworks: NYSDFS Part 500, FFIEC Cybersecurity Assessment, ISO 27001, ISO 20000, ISO 9001, COBIT, RISKIT, NIST CSF, COSO, ITIL

Education

XXXXXX University

M. S. - Information Technology Management

B.S. – Business Administration (magna cum laude)